

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL	
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Raffin Thomas A.</u> (Last) (First) (Middle) <u>C/O NEWLINK GENETICS CORPORATION</u> <u>2503 SOUTH LOOP DR., SUITE 5100</u> (Street) <u>AMES IA 50010</u> (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>NEWLINK GENETICS CORP [(NLNK)]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner Officer (give title below) Other (specify below)
	3. Date of Earliest Transaction (Month/Day/Year) <u>07/31/2019</u>	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date						Title
Stock Option (Right to Buy)	\$3.07	07/31/2019		D			26,190	03/03/2011	03/02/2020	Common Stock	26,190	(1)	0	D	
Stock Option (Right to Buy)	\$1.77	07/31/2019		A			13,095	(2)	07/31/2026	Common Stock	13,095	(1)	13,095	D	
Stock Option (Right to Buy)	\$10.02	07/31/2019		D			17,857	01/01/2012	12/08/2020	Common Stock	17,857	(1)	0	D	
Stock Option (Right to Buy)	\$1.77	07/31/2019		A			8,929	(2)	07/31/2026	Common Stock	8,929	(1)	8,929	D	
Stock Option (Right to Buy)	\$7	07/31/2019		D			3,571	12/09/2011	11/09/2021	Common Stock	3,571	(1)	0	D	
Stock Option (Right to Buy)	\$1.77	07/31/2019		A			1,786	(2)	07/31/2026	Common Stock	1,786	(1)	1,786	D	
Stock Option (Right to Buy)	\$7	07/31/2019		D			47,619	11/10/2011	11/09/2021	Common Stock	47,619	(1)	0	D	
Stock Option (Right to Buy)	\$1.77	07/31/2019		A			23,810	(2)	07/31/2026	Common Stock	23,810	(1)	23,810	D	
Stock Option (Right to Buy)	\$13.3	07/31/2019		D			17,855	(3)	11/01/2022	Common Stock	17,855	(4)	0	D	
Stock Option (Right to Buy)	\$1.77	07/31/2019		A			5,952	(2)	07/31/2026	Common Stock	5,952	(4)	5,952	D	
Stock Option (Right to Buy)	\$14.71	07/31/2019		D			12,000	(5)	05/08/2023	Common Stock	12,000	(4)	0	D	

